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FROMMER LAWRENCE & HAUG, LLP.  
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745 FIFTH AVENUE  
NEW YORK, NY 10151

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

09/22/2004 CNGUYEN1 00000086 10728268

01 FC:1501 1330.00 OP  
02 FC:1504 300.00 OP  
03 FC:8001 9.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/728,268	12/04/2003	Naoki Inoue	450100-03226.1	7105

TITLE OF INVENTION: DISK CARTRIDGE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	10/29/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
MILLER, BRIAN E	2652	360-133000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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1 HAUG LLP

2 WILLIAM S. FROMMER

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

SONY CORPORATION

TOKYO, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 3

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized to charge the required fee(s), or credit any overpayment Deposit Account Number 50-0320 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other part interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date) 09-20-04

Reg. No. 25,566

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 14 Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)

**CERTIFICATE OF MAILING****Issue Fee Due****Publication Fee**

Serial No. : 09/420,055  
**Attorney Docket** : 450100-02144  
First Named Inventor : Yoshio Osakabe

Nov. 8, 2004

No

Serial No. : 10/728,268  
**Attorney Docket** : 450100-03226.1  
First Named Inventor : Naoki Inoue

Oct. 29, 2004

\$300.00

Serial No. : 10/139,535  
**Attorney Docket** : 450111-03857  
First Named Inventor : Jin Xu

Oct. 13, 2004

\$300.00

Serial No. : 09/344,675  
**Attorney Docket** : 450100-4926  
First Named Inventor : Toshio Narushima

Nov. 24, 2004

No

Serial No. : 09/262,366  
**Attorney Docket** : 450100-4807  
First Named Inventor : Masami Tomita

Nov. 10, 2004

\$300.00

Serial No. : 09/821,008  
**Attorney Docket** : 450100-03096  
First Named Inventor : Masayuki Hattori

Dec. 7, 2004

\$300.00

I hereby certify that the issue fee payments for the above-referenced applications are deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on September 20, 2004 via "Express Mail #EV467846986US and addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Charles T. Jones  
(Typed name of person mailing paper or fee)

Charles T. Jones  
Signature

Sept 20, 2004  
Date